



### Conflict of Interest Clearing Declaration

<b>Employer</b>		<b>WCB Account #</b>	
<b>Employer Rep</b>		<b>Title</b>	
<b>Auditor</b>		<b>Auditor Cert #</b>	
<b>Anticipated Date of Audit</b>		<b>Cert Expiry</b>	

Auditors must avoid any and all actual or perceived Conflicts of Interest. A Conflict of Interest may influence the results of the Audit. This can lead to inaccurate Audit results, and ultimately, increase the risk of worker injury.

- a. Auditors must avoid placing themselves in a Conflict of Interest when performing Audits;
- b. The Auditor must not:
  - i. perform an External Audit for an Employer if the Auditor or a member of the Auditor's Corporate Group has helped to build, establish, implement, advise or consult upon, or maintain the Employer's health and safety management system or processes in the 36 months preceding the date of first data gathering in relation to the External Audit's date;
  - ii. perform an External Audit for an Employer who, in the 36 months preceding the Audit, employed the Auditor or a member of the Auditor's Corporate Group, or had a direct contractual relationship with the Auditor or a member of the Auditor's Corporate Group, unless the nature of the employment or contractual relationship falls into the following categories:
    - (a) the Auditor or a member of the Auditor's Corporate Group has delivered standard AMTA courses for the Employer;
    - (b) the Auditor or a member of the Auditor's Corporate Group has delivered generic training courses for the Employer; or
    - (c) the Auditor or a member of the Auditor's Corporate Group has provided services to the Employer that are not directly evaluated by the Audit instrument;
  - iii. perform an External Audit for an Employer if the Auditor or a member of the Auditor's Corporate Group has a Personal Relationship with the Employer's owner, or any key employees, or members of the Employer's management group, if that Personal Relationship may be construed or perceived as a Conflict of Interest;



- iv. make recommendations in an External Audit with the intention of using them to market or justify the purchase of additional business services from either the Auditor or members of the Auditor's Corporate Group;
- v. market the Auditor's services or those of the Auditor's Corporate Group to the Employer while performing the External Audit;
- vi. perform Cross Audits; or
- vii. perform an External Audit for an Employer that has any contractual relationship with the Auditors Employer, other than a contract for the performance of Audits or related health and safety services.

I acknowledge and certify that I'm not aware of any conflicts of interest which would encumber the Employer or Auditor from completing this audit.

**Employer Acknowledgment**

**Auditor Acknowledgement**

	<b>SIGNATURE</b>	
	<b>PRINT NAME</b>	
	<b>DATE</b>	